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## Analysis of area-congestion-based DDoS attacks in ad hoc networks

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### Abstract

Increased instances of distributed denial of service (DDoS) attacks on the Internet have raised questions on whether and how ad hoc networks are vulnerable to such attacks. This paper studies the special properties of such attacks in ad hoc networks. We examine two types of area-congestion-based DDoS attacks – remote and local attacks – and present in-depth analysis on various factors and attack constraints that an attacker may use and face. We find that (1) there are two types of congestion – self congestion and cross congestion – that need to be carefully monitored; (2) the normal traffic itself causes significant packet loss in addition to the attack impacts in both remote and local attacks; (3) the number of flooding nodes has major impacts on remote attacks while, the load of normal traffic and the position of flooding nodes are critical to local attacks; and (4) given the same number of flooding nodes and attack loads, a remote DDoS attack can cause more damage to the network than a local DDoS attack.

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### 1. Introduction

DDoS attacks present a serious threat to network computing and have recently attracted much attention [1–6]. When a DDoS attack is launched, a large number of hosts controlled by the attackers flood a target with a high volume of packets to significantly degrade the target's service performance or render it unable to deliver any service. Ad hoc networks differ from the Internet in several critical ways that make

them especially vulnerable to DDoS attacks. First, ad hoc nodes are peers. Because of this, once an attacker compromises a node, they can attack the network from inside. Second, every node in an ad hoc network is not only a host but also a router. Thus, it is harder to determine whether a suspicious packet is from an attacker or relayed from a legitimate node. These features indicate that there may be “easier” ways to cause denial of service (DoS) in ad hoc networks than in the Internet, and that existing Internet DDoS defense mechanisms may not be enough to counter DDoS attacks in ad hoc networks.

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45 Although congestion was recognized as a simple  
46 and effective DoS attack approach in ad hoc net-  
47 works, previous studies mainly focused on individ-  
48 ual attackers and the attack impacts on individual  
49 nodes and traffic flows. In an ad hoc network, it is  
50 easy for attackers to attack simultaneously from dis-  
51 tributed locations; however, it is not clear how dam-  
52 aging the attacks can be and what are the unique  
53 characteristics of the attacks. Due to the relative  
54 newness of these concerns, more research on the  
55 properties and methods of DDoS attacks in ad  
56 hoc networks is needed.

57 Motivated by these observations, we explore the  
58 possible DDoS attacks and their impacts on ad  
59 hoc networks. In particular, we investigate how  
60 attackers flood legitimate routes with junk packets.  
61 Because wireless bandwidth is limited, the junk  
62 packets can easily cause severe wireless channel con-  
63 tention among nearby nodes on the legitimate  
64 routes. Therefore, the attack creates network-wide  
65 congestion instead of congestion surrounding only  
66 the destination as in conventional Internet DDoS  
67 attacks. In this paper, we explore and discuss two  
68 types of congestion – self and cross congestions –  
69 that may be caused by attacks. We analyze the  
70 important factors that may affect the attacks. We  
71 also review the existing defense mechanisms against  
72 these DDoS attacks. This research lays the neces-  
73 sary foundation for developing more effective  
74 defense strategies against DDoS attacks in ad hoc  
75 networks.

## 76 2. Background

77 In this section, we present background informa-  
78 tion on DDoS and DoS attacks and review related  
79 works.

### 80 2.1. DDoS attacks

81 In the Internet, attackers can launch a DDoS  
82 attack from a huge number of hosts to conquer a  
83 few target servers. Many attacking approaches have  
84 been identified. For example, attackers can send a  
85 flood of SYN packets to block one of the server's  
86 TCP ports [7], flood the targets with misformed  
87 ICMP echo packets [8], or bruteforcely flood them  
88 with UDP packets [9]. Since most flooding packets  
89 in DDoS attacks are sent out with spoofed source  
90 addresses, much research on defense has focused  
91 on identifying the true flooding sources, tracing back  
92 to those sources, and filtering out the flooding pack-

93 ets. Aura et al. [10] proposed letting the server ask  
94 the client to respond to a cookie or solve a puzzle  
95 when the client requests connection to the server. If  
96 the client is spoofed, no reply will come from a  
97 spoofed machine, or the real attacker will be over-  
98 whelmed by the server's response requests. Ferguson  
99 et al. [1] proposed the ingress filtering technology to  
100 filter packets with a spoofed address outside the  
101 attacker's network. Mirkovic et al. [4] proposed D-  
102 WARD to set a rate limit for a suspicious flow that  
103 does not match its normal model. With the help of  
104 routers that embed trace information in a number  
105 of normal packets, the victim can figure out the real  
106 attack sources based on trace back [2,11]. Pi [5] lets  
107 the victim identify the flooding source by putting  
108 unique path identifiers in packets. Push back [3,12]  
109 identifies attack aggregates in congested routers.  
110 SAVE [13] requires routers to verify the source  
111 address of incoming packets. In SIFF [6], routers  
112 manipulate the marking fields in packets so that an  
113 end-host can selectively stop individual flows from  
114 reaching its network. A comprehensive overview  
115 and classification of DDoS attacks and defense  
116 approaches can be found in [14].

117 A major characteristic of DDoS attacks in the  
118 Internet is that the attacking sources are end hosts  
119 that connect to the Internet from their access net-  
120 works and are remote to the victim. To take over  
121 the target, the flooding packets travel through the  
122 Internet from the flooding sources to the target. In  
123 an ad hoc network, this kind of attack approach is  
124 not the only choice for attackers. Since ad hoc nodes  
125 are inside the network, the attackers are closer to  
126 the target and can directly congest it. The attackers  
127 can also redirect and forward traffic to the target  
128 instead of generating junk packets by themselves.  
129 In addition, because mobile nodes are no longer  
130 the end hosts in an ad hoc network, attackers can  
131 bypass the defending nodes. Hence, it is important  
132 to clearly understand the possible new features of  
133 such attacks and how DDoS attacks can be pre-  
134 vented in an ad hoc network.

### 135 2.2. DoS attacks in ad hoc networks

136 There are many approaches to launching DoS  
137 attacks in an ad hoc network. In the physical layer,  
138 jamming can be used to disrupt and suppress normal  
139 transmission [15]. In the MAC layer, the attackers  
140 can exploit defects of MAC protocol messages and  
141 procedures. For instance, in the 802.11 MAC proto-  
142 col, the attackers can provide bogus duration infor-

143 mation or misuse the carrier sense mechanism to  
 144 deceive normal nodes to avoid collision or keep  
 145 silent [16]. Gu et al. [17] analyzed how the attackers  
 146 can use certain packet generation and transmission  
 147 behavior to obtain more bandwidth than legitimate  
 148 nodes so that legitimate transmission is suppressed.  
 149 Wullems et al. [18] identified a weakness in the cur-  
 150 rent MAC protocol that enables an attacker to  
 151 deceive other nodes and stop transmission. The  
 152 attackers can exploit the CCA function of the  
 153 802.11 PHY protocol to suppress other nodes with  
 154 the illusion of a busy channel. Borisov et al. [19] dis-  
 155 covered several security flaws in WEP, which enables  
 156 an attacker to modify a message without being  
 157 detected and prevent users from obtaining correct  
 158 information from their service provider. Authors  
 159 from Refs. [20–23] have found that attackers can  
 160 break valid routes and connections by manipulating  
 161 routing procedures and packets. Aad et al. [20] iden-  
 162 tified the JellyFish attacks that drop, reorder or  
 163 delay TCP packets to disrupt TCP connections.

164 Differing from DDoS attack approaches in  
 165 the Internet, the aforementioned DoS attack  
 166 approaches, except those that deal with routing or  
 167 higher layers, generally require an attacker to have  
 168 a specially designed network card in order to com-  
 169 pose the attacking packets. For example, the  
 170 attacker needs to generate a strong signal in the  
 171 bandwidth for jamming, composing special MAC  
 172 packets for channel congestion, modifying for-  
 173 warding routing packets to detour routes, or disor-  
 174 dering TCP packets to break TCP connections.  
 175 Hence, these approaches are not very practical for  
 176 attackers trying to launch attacks from compro-  
 177 mised nodes. In this paper, we study a simple attack  
 178 approach where attackers inject packets into legiti-  
 179 mate routes. This approach only requires an attack-  
 180 ing node to get valid routes from its routing tables  
 181 and impersonate a legitimate node.

### 3. Area-congestion-based DDoS attacks

182

183 Congestion has been recognized as a simple and  
 184 effective DoS attack approach in ad hoc networks.  
 185 In this section, we examine the special features  
 186 and concerns of area-congestion-based DDoS  
 187 attacks.

#### 3.1. Attack topologies

188

189 We classify the DDoS attacks into *remote attacks*  
 190 and *local attacks*, according to attack topologies.  
 191 Fig. 1 depicts the topologies and possible congestion  
 192 resulting from the DDoS attacks. The gray elliptical  
 193 area is an ad hoc network, where nodes  $a1$ ,  $a2$ ,  
 194 and  $a3$  are the attackers, and nodes  $n1$ ,  $n2$ , and  $n3$   
 195 are the legitimate nodes. The dashed lines stand  
 196 for the attack traffic through multiple hops, and  
 197 the solid lines for the attack traffic to nearby  
 198 nodes. The shadowed areas are possible congested  
 199 areas.

200 The remote attacks in ad hoc networks are differ-  
 201 ent from flooding in the Internet. In the Internet, a  
 202 congested link keeps its maximum throughput dur-  
 203 ing each attack period. However, in ad hoc net-  
 204 works, because the communication channel is  
 205 open and shared, packets in a small area can collide  
 206 with each other. Hence, different attack streams  
 207 interfere with each other when they go through  
 208 the same area. In addition, an attack stream may  
 209 experience self-congestion and the route may fre-  
 210 quently change during the attack. As a consequence,  
 211 which routing nodes may forward the flooding  
 212 packets and how many flooding packets can reach  
 213 the target through multiple hops are largely unpre-  
 214 dictable. Our simulations (described in detail later)  
 215 show that in a remote DDoS attack more flooding  
 216 nodes and higher attack load may in fact reduce  
 217 the attack impacts.

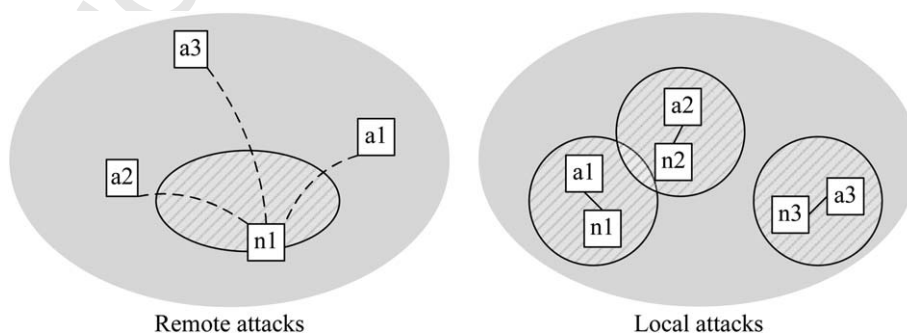


Fig. 1. Area-congestion-based attacks.

218 Since local attackers are competing for the chan-  
 219 nel with all other nearby nodes, local attackers may  
 220 suffer less self-congestion and be able to cause more  
 221 congestion to nearby targets. Our simulations show  
 222 that the impact of a local DDoS attack increases  
 223 with more flooding nodes and higher attack loads.  
 224 However, given the same number of flooding nodes  
 225 and attack loads, a remote DDoS attack can cause  
 226 more damage to the network than a local DDoS  
 227 attack.

### 228 3.2. Attackers

229 Similar to DDoS attacks in the Internet, area-  
 230 congestion-based attacks need enough flooding  
 231 sources to significantly degrade the service perfor-  
 232 mance. One approach for attackers in an ad hoc  
 233 network to obtain flooding nodes is to compromise  
 234 vulnerable mobile nodes or deploy mobile nodes in  
 235 different locations before the event. With enough  
 236 flooding nodes, compromised or deployed, the  
 237 attackers can command these nodes to flood the net-  
 238 work at the appropriate time.

239 However, a flooding node may face other chal-  
 240 lenges among which energy constraint is the most  
 241 critical one, especially when the flooding node is a  
 242 compromised mobile node. Since flooding consumes  
 243 power, a DDoS attack may not be economical if the  
 244 attack impact is not devastating. However, we find  
 245 that it does not require many flooding nodes or high  
 246 attack loads to cause serious damage. Furthermore,  
 247 the damage of a DDoS attack is mainly determined  
 248 by how the network is used and how users experi-  
 249 ence the attack. In some critical situations, such as  
 250 in a battlefield, DDoS attackers may be willing to  
 251 trade energy to take over the ad hoc network even  
 252 for only a short period. In addition, if the flooding  
 253 sources secretly tap into power sources, energy con-  
 254 straints may not be an issue.

## 255 4. Remote attacks

256 In this section, we describe how an attacker can  
 257 inject packets into legitimate routes without being  
 258 detected. We also analyze the characteristics of  
 259 remote attacks, study their impacts, and review pos-  
 260 sible defense methods.

### 261 4.1. Attack approaches

262 In a remote attack, the attackers send a flood of  
 263 junk packets toward the service node over multiple

264 hops (see Fig. 1). When a routing node receives the  
 265 injected packets, it checks its routing table, finds  
 266 the routing entry according to the destination  
 267 addresses, and then forwards them. If the routing  
 268 node traces back according to the source address,  
 269 it may trace to the claimed source instead of the  
 270 flooding source, or find that the claimed source is  
 271 invalid. The reason why the attackers can still suc-  
 272 ceed in flooding without being detected is that dis-  
 273 crepancies exist between routing and forwarding.  
 274 For instance, even if secure routing protocols  
 275 [21,24] are enforced in ad hoc networks, no further  
 276 source verification is enforced in packet forwarding.  
 277 Although the victim can identify the flooding  
 278 sources with some intrusion detection systems, he  
 279 may not be able to figure out where the packets come  
 280 from.

### 4.2. Attack constraints 281

282 There are two types of constraints – self and  
 283 cross congestion – often experienced by remote  
 284 attacks.

#### 4.2.1. Self congestion 285

286 Because a routing node shares the channel with  
 287 other routing nodes in the same route, their trans-  
 288 missions interfere with each other. If an attacker  
 289 injects packets very quickly, most packets will be  
 290 buffered in upstream nodes and dropped later due  
 291 to link failures. Our simulations show that attackers  
 292 need to control the speed of packet generation to  
 293 achieve the maximum throughput. The generation  
 294 speed is measured by the *generation gauge*, which is  
 295 the multiplication of the average period to generate  
 296 one bit and the total channel bandwidth. In our sim-  
 297 ulations, the channel bandwidth is set to 1 Mbps. If a  
 298 node generates attack load at 50 Kbps, i.e., it gener-  
 299 ates one bit every 2  $\mu$ s on average, its generation  
 300 gauge is 2. The quicker a node generates packets,  
 301 the smaller the generation gauge.

302 Fig. 2 shows the relation between the achieved  
 303 throughput of UDP traffic and the generation gauge  
 304 in chain-like paths of different lengths. We depict the  
 305 curves for 5-hop, 10-hop and 20-hop paths. In the  
 306 figure, each curve has a peak. The slope at the right  
 307 side of the peak illustrates a normal situation which  
 308 has a slower packet generation, i.e., bigger genera-  
 309 tion gauge, which results in less throughput. The  
 310 slope at the left side of the peak shows a special case  
 311 which has faster packet generation and can reduce  
 312 the throughput. Obviously, the maximum through-

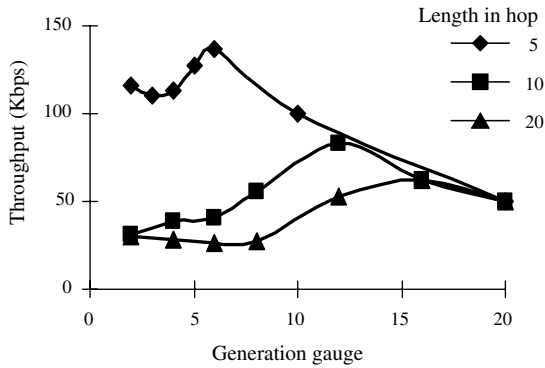


Fig. 2. UDP throughput in a chain-like path.

313 put is achieved at the best generation gauge. Based  
 314 on extensive simulations, we derive a heuristic rule  
 315 as follows: For a single UDP path, the best genera-  
 316 tion gauge is:

- 317 • approximately 1.2 times of the hop number, if the
- 318 length of the path is less than 12 hops; or
- 319 • around 15, if the length of the path is greater
- 320 than 12 hops.

321  
 322 Due to self congestion, the longer a path is, the  
 323 less maximum throughput the UDP traffic has. As  
 324 illustrated in Fig. 2, if the path has 5 hops, the max-  
 325 imum throughput is around 145 Kbps. If the path  
 326 has 10 hops, the maximum throughput is reduced  
 327 to 80 Kbps. If the path has 20 hops, the maximum  
 328 throughput is further reduced to 60 Kbps. Conse-  
 329 quently, if one attacker is flooding a target from a  
 330 very long distance, the traffic that can actually reach  
 331 the target is less than 60 Kbps no matter how fast it  
 332 generates packets.

#### 333 4.2.2. Cross congestion

334 Cross congestion is another constraint, where  
 335 different traffic flows interfere with each other. Con-  
 336 sider Fig. 3 where all attackers send traffic toward  
 337 the target in the center. Assume that all flooding  
 338 sources are far away from each other, and able to  
 339 find the best routes which directly point to the tar-  
 340 get. If the sensing distance is  $D_s$  and the average  
 341 angle between every two closest routes is  $\theta$ , at least  
 342 one collision takes place at a location whose distan-  
 343 ce from the target satisfies  $D \geq \frac{D_s}{2 \sin^2 \frac{\theta}{2}}$ . In other  
 344 words, at the distance  $D$  from the target, a maxi-  
 345 mum of  $N_D = \frac{\pi}{\arcsin(D_s/2D)}$  flows can go through  
 346 toward the target without collision.

347 In the target's sensing range, at most 6 flows do  
 348 not interfere with each other. If the flooding nodes

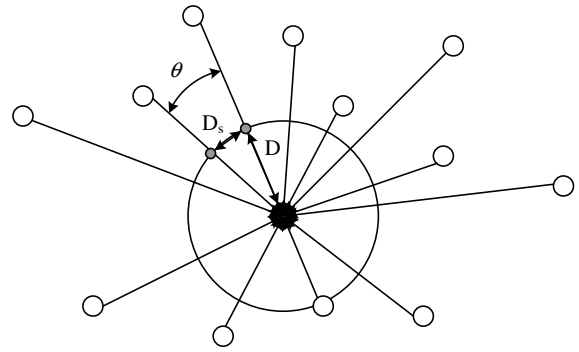


Fig. 3. Attack traffic collision.

349 are 3 hops away from the target, each node can  
 350 flood at 150–200 Kbps, and the total flooding traffic  
 351 toward the service node can consume a channel  
 352 capacity of 1 Mbps. If the flooding nodes are far  
 353 away from the target, for example, more than 15  
 354 hops away, we need to consider the maximum  
 355 throughput of a single UDP flow discussed in Sec-  
 356 tion 4.2.1. Assume that the attackers are smart  
 357 enough to select proper flooding topology so that  
 358 the flooding flows do not interfere with each other  
 359 before reaching the target. Sixteen flooding nodes  
 360 may be needed, since each of them can only get  
 361 50–70 Kbps of flooding traffic to reach the target.  
 362 In reality, however, because ad hoc routes are ran-  
 363 dom, the attackers can hardly select such a topology  
 364 to avoid cross congestion. We use the simulations  
 365 to study the impact of the number of flooding nodes  
 366 on the target.

#### 367 4.3. Simulations

368 NS2 [25] was used to model the simulations,  
 369 which was configured as follows:

370 *Communication model.* We use the default model  
 371 in NS2, i.e., the two-ray ground reflection model in  
 372 the physical layer, the IEEE 802.11 as the MAC and  
 373 PHY protocols for communications, a sensing  
 374 range of 550 m, a transmission range of 250 m,  
 375 and the channel capacity as 1 Mbps. For communi-  
 376 cations over multiple hops, AODV is used as the  
 377 routing protocol.

378 *Network topology.* We simulate the attacks in a  
 379  $4200 \text{ m} \times 4200 \text{ m}$  network. The network is divided  
 380 into 441 grids, each of which is a  $200 \text{ m} \times 200 \text{ m}$   
 381 square area. Inside each grid, a node is randomly  
 382 placed. Under these conditions, the network topol-  
 383 ogy is randomly generated for each simulation.  
 384 We do not consider the movement of nodes in these

simulations, because the motion of nodes is much slower than the dynamics of the network under attack. In an ad hoc network, the flooding nodes may be randomly distributed in the network. This is typically the case when some normal nodes are compromised by the attackers for flooding. On the other hand, attackers can intentionally deploy some flooding nodes in a ring circling the service node. For comparison, the ring is centered at the service node and has a radius of 1300 m. The flooding nodes are selected from the nodes on or close (within 200 m) to the ring.

*Traffic model.* The node in the middle of the network is the service node, also referred to as the server in our discussion. In each simulation, we use CBR agents to generate normal and flooding traffic. In each simulation, we randomly select 10, 20, or 40 nodes as flooding nodes sending traffic toward the service node. The flooding traffic starts 5 s after the normal traffic, and continues for 30 s. The load of a flooding flow is 20 Kbps, 50 Kbps, 100 Kbps, or 200 Kbps. In each simulation, all flooding streams have the same attack load. In an ad hoc network, the communication between two nodes may still be congested by the flooding traffic toward the service node. Hence, we study two patterns of normal traffic. One is the traffic that goes between the service node and normal nodes. We randomly set the direction of the traffic to or from the service node. The other type of normal traffic is the traffic between two randomly selected nodes.

*Default traffic setting.* We compare the attack impacts under various traffic parameters and patterns. However, if it is not mentioned, the following default traffic setting is applied. The normal traffic is generated by 20 randomly selected normal nodes and the service node. Ten normal nodes communicate with the service node, and the other 10 randomly communicate with other nodes. Eighty percent of the normal traffic uses TCP connections, and the remaining 20% uses UDP packets. All normal traffic flows have a load of 20 Kbps. The flooding nodes are randomly put in the network. The flooding traffic uses UDP packets.

#### 4.3.1. Experimental design

Five factors that may affect the attacks were considered in this study. We consider four attack loads (20, 50, 100, and 200 Kbps), three numbers of flooding nodes (10, 20, and 40), two positions of flooding nodes (random and ring), two loads of normal traffic (20 and 50 Kbps), and two patterns of normal

traffic (service and random). Hence, an experimental design with 96 cells was used to represent the combinations of all the factors. For each cell, four independent simulations were conducted. In total, there were 384 data points for the experiment.

We use the throughput loss of the normal traffic to measure the attack impacts. The throughput loss is defined as the percentage of the bits in all dropped legitimate packets over the total bits in all legitimate packets during the attacks. The higher the throughput loss, the less the normal traffic can reach its destination and thus the more damage the attacks cause. Each point of the throughput loss in the comparison figures is the average of the four independent simulations. Note that the throughput loss is related to many factors in the application layer, such as extra delay of the service due to retransmission of the lost packets or disconnection from the service node due to the loss of service request packets.

#### 4.3.2. Computational results

Table 1 presents the results of an analysis of variance (ANOVA) for attack impacts. In an ANOVA test, the factors have significant influence on the measurements when the  $P$ -value is small (e.g., less than 0.005). More explanations on  $P$ -value can be

Table 1  
ANOVA analysis of remote attacks

Main effect	Mean square	DF	F-value	Significance
Load of flooding traffic (A)	0.00640	3	0.40	0.753
No. of flooding nodes (B)	0.08763	2	5.49	<b>0.005</b>
Position of flooding nodes (C)	0.00101	1	0.06	0.802
Load of normal traffic (D)	0.06379	1	4.00	0.048
Pattern of normal traffic (E)	0.23149	1	14.50	<b>0.000</b>
<i>Two-way interaction</i>				
A * B	0.01595	6	1.00	0.429
A * C	0.00802	3	0.50	0.681
A * D	0.03819	3	2.39	0.072
A * E	0.01996	3	1.25	0.295
B * C	0.01764	2	1.10	0.335
B * D	0.02733	2	1.71	0.185
B * E	0.18589	2	11.64	<b>0.000</b>
C * D	0.00034	1	0.02	0.884
C * E	0.00083	1	0.05	0.820
D * E	0.00812	1	0.46	0.497

\* DF: degree of freedom;  $\alpha = 0.05$ .

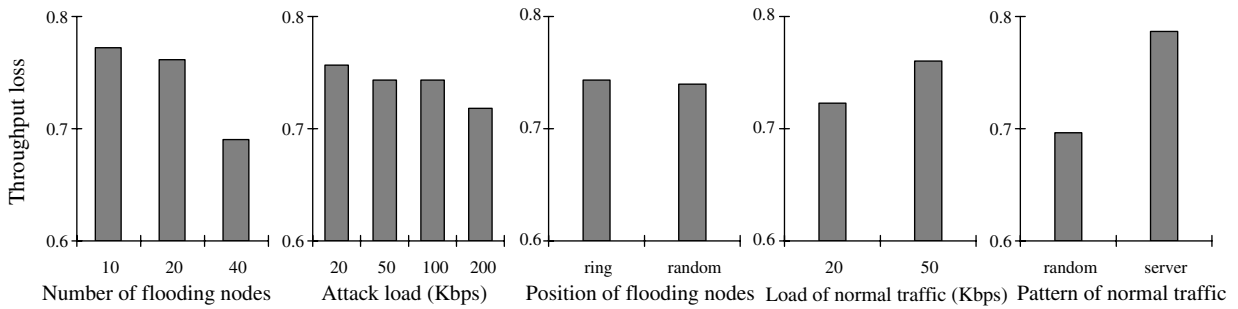


Fig. 4. Attack impacts of remote attacks under different factors.

462 found in [26]. Fig. 4 shows an overall evaluation of  
 463 the main effects of these factors. The results indicate  
 464 that, among these factors, the pattern of normal  
 465 traffic is significant at  $P < 0.001$  and the number  
 466 of flooding nodes is significant at  $P < 0.005$ . Other  
 467 factors show only slight influence.

468 The results indicate that if all normal nodes com-  
 469 municate with the service node, the damage from  
 470 the flooding attack will be amplified. This shows  
 471 that the normal traffic itself can cause packet loss  
 472 in addition to the damage caused by the flooding  
 473 traffic.

474 Also, we find that more flooding nodes leads to  
 475 less throughput loss. For instance, the throughput  
 476 loss drops from 77% for 10 flooding nodes to 69%  
 477 for 40 flooding nodes. This indicates that cross con-  
 478 gestion between flooding flows can significantly  
 479 reduce the effective volume of flooding packets in  
 480 the network. In this way, the remote attack is differ-  
 481 ent from a traditional DDoS attack. As such, if the  
 482 attacker uses 10 flooding nodes, he has a better  
 483 chance of causing congestion in the network than  
 484 if he uses 40 flooding nodes.

485 Although the results show that ring positioned  
 486 flooding nodes may cause slightly more damage than  
 487 randomly positioned flooding nodes, the impacts are

not statistically significant. A higher load of nor- 488  
 mal traffic can cause higher throughput loss due 489  
 to self congestion, but a higher load of flooding 490  
 traffic slightly reduces the throughput loss. Conse- 491  
 quently, in remote attacks, the most damage can 492  
 be caused by a few flooding nodes with a low attack 493  
 load. 494

Note that the difference in throughput loss under 495  
 various factors is relatively small compared to the 496  
 average throughput loss. In general, the high end 497  
 of throughput loss is around 80%, while the low 498  
 end of throughput loss is around 70%. Hence, in a 499  
 remote attack, even if the attackers can control 500  
 many flooding resources, the actual attack impact 501  
 may not be greatly improved. In summary, in our 502  
 simulations, 10 flooding nodes, each of them gener- 503  
 ating attack traffic at 20 Kbps, can cause the most 504  
 damage on average. 505

#### 4.3.3. Interactions among factors 506

We also evaluated the two-way interactions 507  
 among the five factors. All the interactions, except 508  
 the number of flooding nodes and the pattern of 509  
 normal traffic, are insignificant. 510

Fig. 5 shows the throughput loss of the two pat- 511  
 terns of normal traffic, different numbers of flooding 512

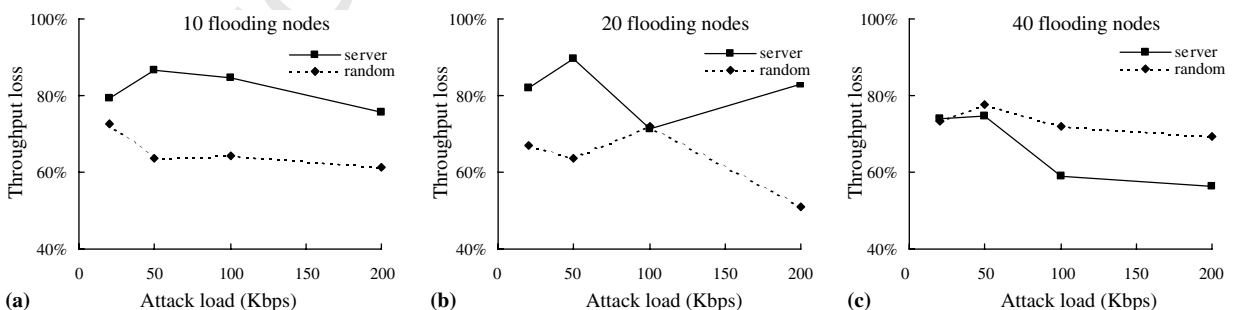


Fig. 5. Normal traffic patterns: with the service node or between random nodes. In each figure, the solid lines stand for the throughput loss of normal traffic that connects with the service nodes, and the dashed lines for the traffic between two randomly selected nodes.

513 nodes, and different attack loads. It is noted that  
 514 when normal nodes communicate with the server,  
 515 their traffic is more affected by the number of flood-  
 516 ing nodes. When the number of flooding nodes is  
 517 small as in Fig. 5(a), the normal traffic connecting  
 518 with the service node can have more than 80%  
 519 throughput loss. As the number of flooding nodes  
 520 grows, the throughput loss drops to 70% or even  
 521 less. In contrast, the throughput loss of random nor-  
 522 mal traffic keeps a similar dropping pattern from  
 523 70% to 60%, no matter how many flooding nodes  
 524 are in the network. This comparison indicates that  
 525 the flooding traffic mainly affects the service node  
 526 when the number of the flooding nodes is small,  
 527 because the flooding traffic concentrates in the vicin-  
 528 ity of the service node, whereas in the other areas,  
 529 the flooding traffic is not so intense. When the num-  
 530 ber of flooding nodes is large, the network is full of  
 531 flooding traffic and thus any kind of normal traffic  
 532 will be congested. In this situation, the throughput  
 533 loss of both types of normal traffic in Fig. 5(c) is  
 534 more similar than in others.

#### 535 4.4. Defenses against remote attacks

536 Many defense approaches in the Internet have  
 537 limitations when applied in an ad hoc network  
 538 because they assume that: (a) attack hosts are end  
 539 systems, (b) routers are trusted, and (c) victims are  
 540 targets and vice versa. Unfortunately, all these  
 541 assumptions are not necessarily true in ad hoc net-  
 542 works. Since attackers are inside an ad hoc network,  
 543 they can send spoofed packets but claim the packets  
 544 are forwarded. Routing nodes are not trustable  
 545 either. Some routing nodes can be the attacker's col-  
 546 liders, and they can forward the flooding traffic. In  
 547 the Internet, the network access can be controlled at  
 548 the access point, such as by an ISP. However, in  
 549 order to block a suspicious flooding source and its  
 550 colliders in an ad hoc network, the routing nodes  
 551 need to verify and filter the junk packets. In addi-  
 552 tion, an attack packet should be filtered as soon as  
 553 possible once it is in the network, since it always  
 554 has an impact on the area it goes through.

555 To prevent attackers from spoofing and flooding  
 556 packets in an ad hoc network, hop-by-hop source  
 557 authentication is needed so that every node partici-  
 558 pates in the protection of the network. Normal  
 559 nodes can immediately detect and filter packets sent  
 560 from malicious nodes. Yu et al. [27] proposed dis-  
 561 tributing a credential to the routing nodes with the  
 562 routing packets when a route is set up. Then, only

the nodes in the route can verify the digital signature  
 in the packets and only the source and the destina-  
 tion nodes of the route can use this route. This  
 approach ensures that no one else can spoof the  
 source node inside or outside the route. However,  
 a route in an ad hoc network may frequently change,  
 which results in verification failures. Gu et al. [28]  
 proposed another hop-by-hop source authentication  
 approach to ensure that a packet can be verified  
 when a route is changed. In this approach, the rout-  
 ing node at which a new route diverges from the old  
 route takes the responsibility of authenticating the  
 packets. The routing nodes in the new route can then  
 verify the packets based on the new authentication  
 information.

### 5. Local attacks

In this section, we analyze the characteristics of  
 local attacks, study their impacts, and preview pos-  
 sible defense methods.

#### 5.1. Attack approaches

In a local attack, the attackers send flooding traf-  
 fic to their neighbor nodes to affect the traffic  
 through the neighbor nodes (see Fig. 1). One advan-  
 tage of local attacks is that the flooding nodes do  
 not need to send the traffic over multiple hops.  
 Thus, the flooding nodes do not rely on other rout-  
 ing nodes. Furthermore, the flooding nodes experi-  
 ence less self congestion, since the flooding traffic  
 only goes through one hop. The flooding nodes also  
 have less cross congestion, especially when two  
 flooding nodes are far away from each other and  
 cannot sense each other. The attack is effective only  
 if the normal traffic goes through the flooding area.  
 Greedy attackers may attack a lot of areas to make  
 the maximum impact on the whole network instead  
 of a single node.

One major problem of local attacks is that the  
 flooding node needs to compete for the channel with  
 normal nodes. The flooding node can congest others  
 by composing large packets [29,30,17]. When a nor-  
 mal node is suppressed by a flooding node and  
 unable to get sufficient bandwidth, it not only has  
 to defer the transmission of its packets, but also  
 has limited time to accept packets from other nodes.  
 Other nodes may think the node is malfunctioning  
 and the link to this node may be conceived as a fail-  
 ure. This will trigger other nodes to break routes  
 going through this node or drop packets directed



611 to this node. We will use simulations to study the  
612 complicated attack impacts.

### 613 5.2. Attack constraints

614 In a local attack, a flooding node only has a  
615 direct impact on the area in its vicinity. Hence, a  
616 local attack concerns how the flooding nodes may  
617 be deployed and how serious the attack is. For anal-  
618 ysis purposes, we first observe the channel at a loca-  
619 tion  $x$  for a period of time  $T$ . During this period, it  
620 takes  $t_{tr}(x)$  for transmission in the channel. Of  $t_{tr}(x)$ ,  
621  $t_{norm}(x)$  is allocated for normal traffic. Then, define  
622 normal traffic density at location  $x$ ,  $D_{norm}(x) =$   
623  $\frac{t_{norm}(x)}{\int_S t_{tr}(x) dx}$ , where  $S$  means the whole network.

624 The damage of a local attack can be measured  
625 as  $M = 1 - \int_S D_{norm}(x) dx$ . Because  $t_{norm}(x) \leq t_{tr}(x)$ ,  
626  $\int_S D_{norm}(x) dx \leq 1$ . If there is no attack,  $t_{norm}(x) =$   
627  $t_{tr}(x)$  and thus  $\int_S D_{norm}(x) dx = 1$  and  $M = 0$ , i.e.,  
628 damage is zero. If normal transmission is totally dis-  
629 abled,  $t_{norm}(x) = 0$  and  $t_{tr}(x)$  is for the attack traffic  
630 only. In this case,  $\int_S D_{norm}(x) dx = 0$  and  $M = 1$ , i.e.,  
631 the network is 100% damaged.

632 It is very complicated to measure  $t_{tr}(x)$  and  
633  $t_{norm}(x)$  in an attack, because (a) routes are highly  
634 dynamic under attack due to link failure, (b) the  
635 network traffic may be re-distributed due to route  
636 changes, and (c) the effect of the attack traffic on  
637 the normal traffic is determined by their interaction  
638 and thus is uncertain due to the first two reasons.  
639 However, it is possible to study some properties  
640 with simplified models. Assuming  $N$  compromised  
641 nodes can disable their vicinities 100% once they  
642 start an attack, then the damage (before the normal  
643 traffic is re-distributed) is:

$$645 M = 1 - \int_S D_{norm}(x)(1 - d(x)) dx,$$

646 where  $d(x)$  is a damage ratio, and  $0 \leq d(x) \leq 1$ . At  
647 location  $x$ ,  $d(x) = 1$  if  $x$  is inside the attack area of  
648 any attack host; otherwise,  $d(x) = 0$ , i.e., no damage  
649 to this location. If the flooding nodes are randomly  
650 distributed in the network, we can derive the average  
651 damage as  $E(M) = 1 - \int_S D_{norm}(x)(1 - E(d(x))) dx$ .  
652 When the normal traffic is uniformly distributed in  
653 an ad hoc network, i.e.,  $D_{norm}(x) = \frac{1}{S}$  and the attack-  
654 ers can congest area  $s$ , it is not difficult to prove that  
655 the damage is  $M = \frac{s}{S}$ , which indicates that the dam-  
656 age is proportional to the congested area in a net-  
657 work with uniformly distributed traffic. Hence, it  
658 conforms to our common sense that an attacker

659 may want to deploy as many attack hosts as possible  
660 and assign each attack host to a non-overlapped area  
661 in a local attack.

### 662 5.3. Simulations

663 We use the same experiment as in Section 4.3,  
664 except all flooding nodes only send packets to one  
665 of its neighbors, to examine the characteristics of  
666 the local attacks. All flooding nodes are randomly  
667 selected from its neighbor nodes.

#### 668 5.3.1. Computational results

669 Table 2 presents the results of an ANOVA for  
670 attack impacts. Fig. 6 shows an overall evaluation  
671 of the main effects. The results indicate that the pat-  
672 tern and load of normal traffic are significant at  
673  $P < 0.001$ , and the position of flooding nodes is sig-  
674 nificant at  $P < 0.05$ . These three factors can have  
675 significant influence on the attack. The impacts of  
676 other factors are not statistically significant. Note  
677 that in both remote and local attacks, the impact  
678 from the pattern of normal traffic is significant. This  
679 indicates that an ad hoc network is vulnerable to all  
680 kinds of traffic. If the network is full of normal traf-  
681 fic, the result will be similar to a DDoS attack.  
682 From the viewpoint of the attackers, a good DDoS  
683 attack strategy is to make use of the normal traffic.

Table 2  
ANOVA analysis of local attacks

Main effect	Mean square	DF	F-value	Significance
Load of flooding traffic (A)	0.02299	3	1.34	0.269
No. of flooding nodes (B)	0.03921	2	2.29	0.110
Position of flooding nodes (C)	0.11108	1	6.48	<b>0.013</b>
Load of normal traffic (D)	0.80994	1	47.27	<b>0.000</b>
Pattern of normal traffic (E)	1.67708	1	97.87	<b>0.000</b>
<i>Two-way interaction</i>				
A * B	0.01847	6	1.08	0.385
A * C	0.01741	3	1.02	0.392
A * D	0.02985	3	1.74	0.168
A * E	0.13395	3	7.82	<b>0.000</b>
B * C	0.00403	2	0.24	0.791
B * D	0.01508	2	0.88	0.420
B * E	0.11877	2	6.93	<b>0.002</b>
C * D	0.00641	1	0.37	0.543
C * E	0.11989	1	7.00	<b>0.010</b>
D * E	0.01576	1	0.92	0.341

\* DF: degree of freedom;  $\alpha = 0.05$ .

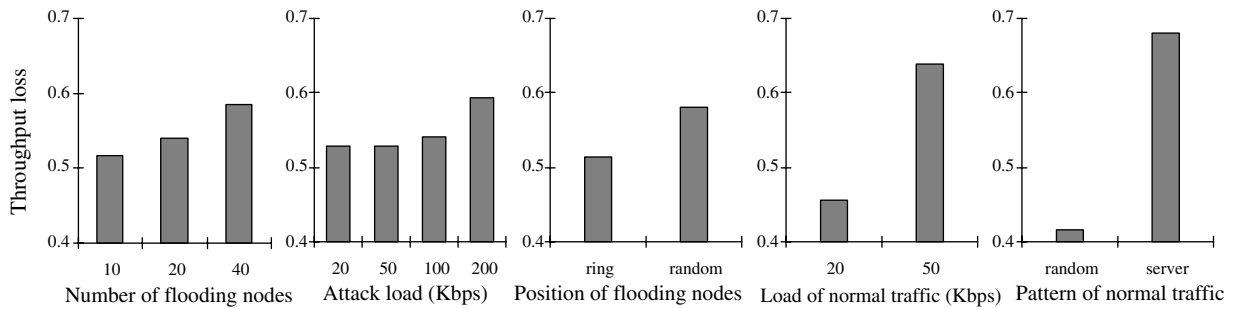


Fig. 6. Attack impacts in local attacks under different factors.

684 The attackers only need to deploy the flooding  
685 nodes in an area where normal traffic is not intense.

686 The load of normal traffic in a local attack is also  
687 a main factor. This indicates that the ability of a  
688 node to compete for the channel in a local attack  
689 is an important factor that determines what portion  
690 of the channel the node can obtain in a congestion  
691 situation. In a remote attack, the importance of this  
692 ability is reduced due to other problems in multi-  
693 hop transmission, such as exposed nodes and link  
694 failure [31].

695 A local attack differs from a remote attack in that  
696 the position of flooding nodes is one of the main fac-  
697 tors. In a remote attack, since flooding traffic goes  
698 through multiple hops, the positions of the flooding  
699 nodes have less influence on where the traffic can go.  
700 In a local attack, one hop flooding traffic can only  
701 affect the nearby traffic. Hence, the attackers may  
702 want to deploy the flooding nodes uniformly in the  
703 network, if they can control the positions of the  
704 flooding nodes.

705 Although other factors show little influence on  
706 the attack, they exhibit some properties different  
707 from in remote attacks. First, in local attacks, the  
708 attack impacts are increased with an increased num-  
709 ber of flooding nodes. Since the flooding traffic in the  
710 local attacks suffers less from self and cross conges-

711 tions, more flooding nodes obviously can cause more  
712 damage to the network. Second, higher attack load  
713 in local attacks can cause more damage to the net-  
714 work. In a local attack, the most damage is caused  
715 when 40 flooding nodes are deployed in the network  
716 and each node floods at the highest rate. Finally, on  
717 average, the throughput loss in local attacks ( $0.55 \pm$   
718  $0.23$ ) is less than that in remote attacks ( $0.74 \pm 0.15$ ).  
719 Note that when the network is crowded with flood-  
720 ing nodes, the gap in throughput losses can be  
721 reduced so that both types of attacks have similar  
722 impacts.

### 5.3.2. Interactions among factors

723 Since the attack impact in a local attack is mainly  
724 determined by how large an area is flooded by the  
725 attackers, the interactions among factors are also  
726 different from those in a remote attack. Our results  
727 indicate that the pattern of normal traffic has interac-  
728 tion with the load of flooding traffic, the number of  
729 flooding nodes, and the position of flooding nodes.

730 Fig. 7 shows that when normal nodes communi-  
731 cate with the service node, the flooding traffic has  
732 only a slight influence on the attack impacts. The  
733 average throughput loss of normal traffic is in a small  
734 range around 60% under different numbers of flood-  
735 ing nodes and different attack loads. Since in this sit-  
736

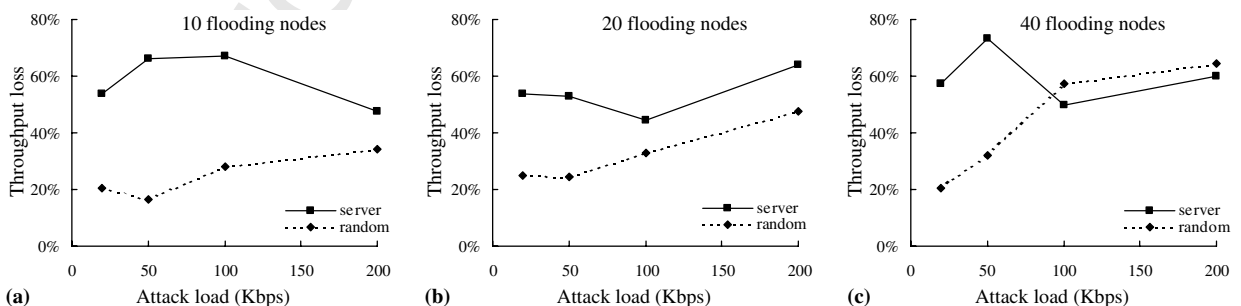


Fig. 7. Normal traffic patterns: with the service node or between two random nodes. In each figure, the solid lines stand for the throughput loss of the normal traffic that connects with the service nodes, and the dashed lines for the traffic between two randomly selected server nodes.

737 uation, the normal traffic aggregates in the vicinity of  
738 the service node, the normal traffic flows suffer from  
739 cross congestion between themselves. The flooding  
740 nodes only cause additional damage to the normal  
741 traffic.

742 On the other hand, the random normal traffic has  
743 less cross congestion, and is thus more affected by  
744 the flooding traffic. Fig. 7(a) shows that the through-  
745 put loss of random normal traffic grows from 20% to  
746 34% as the attack load increases. In Fig. 7(b) the  
747 throughput loss grows from 22% to 42% and in  
748 Fig. 7(c) the throughput loss grows from 20% to  
749 61%. However, the throughput loss of random nor-  
750 mal traffic is generally less than that of the normal  
751 traffic connecting with the service node. In the simu-  
752 lations, if the attack load is low, at 20 Kbps, the  
753 throughput loss of random normal traffic is only  
754 around 20%. The chance that the random normal  
755 traffic is affected by the flooding traffic is also influ-  
756 enced by the number of flooding nodes. The high  
757 end of the range of throughput loss of random nor-  
758 mal traffic grows as the number of flooding nodes  
759 increases, especially when the attack load is high,  
760 at 200 Kbps. In Fig. 7(a), the high end of the range  
761 of throughput loss of random normal traffic is only  
762 34% while in Fig. 7(c), the high end of the range  
763 reaches 61%.

#### 764 5.4. Defense against local attacks

765 It is more difficult to prevent a malicious node  
766 from sending flooding packets through one hop,  
767 since no routing node is needed to forward junk  
768 packets in a local attack. If the number of flooding  
769 nodes is small, a routing node can redirect normal  
770 traffic to circle around the congested area. Wood  
771 et al. [32] proposed the JAM approach for letting  
772 nodes detect and avoid a jammed area. The idea  
773 can also be applied to protect normal traffic in a local  
774 DDoS attack. Normal nodes can first detect the con-  
775 gested area according to the frequency of link fail-  
776 ure, the growing packet number in routing queues,  
777 etc. If a congested area is detected, normal nodes  
778 can forward packets to other nodes not in the con-  
779 gested area. However, the above approach is valid  
780 only if the majority of the network is not congested.  
781 When the number of flooding nodes is large, the  
782 whole network may be under attack. Then it is hard  
783 for a normal node to find another node not in a con-  
784 gested area.

785 Zhang et al. [33] proposed an intrusion detection  
786 architecture, in which all nodes monitor transmis-

787 sions in their neighborhood and cooperate with their  
788 neighbor nodes to exchange intrusion detection  
789 information in order to detect the malicious node.  
790 Marti et al. [34] proposed using a watchdog to detect  
791 the attacking nodes. Basically, a normal node eaves-  
792 drops on its next hop to check whether its next hop  
793 forwards the packets that are received from the nor-  
794 mal node. After detecting malicious nodes, the nor-  
795 mal node uses a path rater to exclude the malicious  
796 node from its routes. In a clustered ad hoc network,  
797 a cluster head is elected for monitoring data traffic  
798 within the transmission range [35]. All of these intru-  
799 sion detection approaches require nodes to monitor  
800 the transmissions in their neighboring areas. How-  
801 ever, a malicious node may use a directional antenna  
802 for transmission in order to avoid monitoring.  
803 Also, a malicious node may ask other malicious  
804 nodes to circumvent its transmission area. Hence,  
805 monitoring nearby transmissions may not be practi-  
806 cal in this kind of adversary environment. Further-  
807 more, the detection relies on trusted neighboring  
808 nodes. They assume that a trusted node will hon-  
809 estly report misbehavior. However, a malicious node  
810 can ask another neighboring node to lie and deceive  
811 defenders.

## 812 6. Conclusion

813 DDoS attacks are already a serious threat to the  
814 Internet. In this paper, we show that DDoS attacks  
815 are also a serious threat to ad hoc networks and are  
816 more difficult to deal with in ad hoc networks. We  
817 studied the attack impacts of two types of DDoS  
818 attacks and compared important factors that influ-  
819 ence the attacks. We find that a remote attack is a  
820 more effective and efficient method for DDoS  
821 attackers to damage the network. More flooding  
822 nodes and higher attack load cannot increase, but  
823 even reduce the attack impacts in a remote attack.  
824 On the other hand, local attacks need more  
825 resources than remote attacks. The damage in a  
826 local attack increases if more flooding nodes send  
827 traffic at a higher attack load in the network. We  
828 also find that the normal traffic has attack impacts  
829 on itself, and the DDoS attacks simply bring addi-  
830 tional damage to the network.

831 Although many approaches to defend against  
832 DDoS attacks in the Internet have been devel-  
833 oped, they cannot be directly applied to prevent  
834 DoS attacks in ad hoc networks. Several defense  
835 approaches against DoS attacks in ad hoc networks  
836 have also been proposed, but the dynamic behavior

837 of congestion and the complication of DoS attacks  
 838 in ad hoc networks deserve more investigation. This  
 839 research explored the properties of area-congestion-  
 840 based DDoS attacks, which lays the necessary foun-  
 841 dation for developing more effective defense strate-  
 842 gies against DDoS attacks in ad hoc networks.

#### 843 Acknowledgement

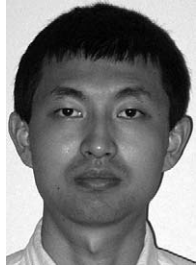
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